Industry Principal Investigator's Agreement
Updated 03/02/2024

INSTRUCTIONS

The Oak Ridge Leadership Computing Facility (OLCF) must have a signed copy of this form on file from the project's Principal Investigator(s) (PI) before any accounts for the project will be processed. Please submit the completed form by email to accounts@ccs.ornl.gov.

The use of the high-performance computers managed by the OLCF is highly controlled and subject to export control restrictions. As PI of the project, you agree to the following responsibilities relating to the control of these systems:

1. The project description included with all applications for time on OLCF resources will be reviewed for export control requirements. The departments of State and Commerce are the primary sources for export control requirements. Specific regulatory references can be found at Export Administration Regulations database and/or US State Department - Policy - Directorate of Defense Trade Controls. If the project is export controlled, the PI agrees to work with Oak Ridge National Laboratory's (ORNL) Export Control Group and the OLCF to ensure compliance with all export control guidelines. The specific actions required for compliance will vary by project and will be provided to the PI if the project is deemed export controlled.

2. The PI agrees to ensure that all work performed on OLCF system is within the scope of the project as approved. The PI further agrees to report any changes in scope to the OLCF so that ORNL may reassess any export control concerns.

3. The PI is responsible for ensuring that all project members who will be accessing OLCF systems complete an online account application on the web site. The application will be forwarded to the PI for authorization. Applicants who appear on a restricted foreign country listing in Section 15 CFR 740.7 License Exceptions for Computers are denied access based on US Foreign Policy. The countries cited are Cuba, Iran, North Korea, Sudan, and Syria.

4. The PI agrees to report to the OLCF when a project member leaves the project to ensure the timely disabling of that member's account. Participants who have not accessed an OLCF computing resource in at least 1 year will be disabled and will need to reapply to regain access to their account. All participants must reapply annually.

5. The PI agrees to provide copies of publications resulting from work carried out using OLCF resources. The PI agrees to ensure that all publication of research

that involved the use of OLCF resources contains the following credit line: This research used resources of the Oak Ridge Leadership Computing Facility, which is a DOE Office of Science User Facility supported under Contract DE-AC05-00OR22725.

6. The PI has read and agrees to comply with the OLCF Computing Policies found at https://www.olcf.ornl.gov/for-users/olcf-policy-guide/#computing-policy.

7. In addition to copies of publications resulting from the research, the PI agrees to provide regular reports detailing the project's accomplishments. These reports include but are not limited to the following:

End of Quarter 1	Summary of project (150-300 words) Quarterly report on milestone progress, challenges, and highlights
End of Quarter 2	Quarterly report on milestone progress, challenges, and highlights Draft PowerPoint slide summarizing project accomplishments to-date
End of Quarter 3	Quarterly report on milestone progress, challenges, and highlights
End of Quarter 4	Final quarterly report on milestone progress, challenges, and highlights Final PowerPoint slide summarizing project accomplishments

8. The PI agrees to participate in the annual OLCF Survey and encourage their project participants to do the same.

By executing this agreement, the PI certifies that he/she has reviewed the export control requirements in Item 1, agrees to comply with all the requirements stated above, and agrees to timely notification of any violations to the User Assistance Center at help@olcf.ornl.gov.

The PI further agrees to identify any proprietary and/or sensitive information that will either be provided to the OLCF or generated during the project. It may be necessary for individuals at the OLCF to provide assistance to the project during its performance. In addition to UT-Battelle employees, some of these individuals may be employees of one or more of our subcontractors, a current list of which is located at the following url: http://www.olcf.ornl.gov/kb_articles/subcontractors/.

Unless the PI objects to allowing approved individuals from one or more of these subcontractors having access to the proprietary and/or sensitive information and identifies those subcontractors, the OLCF will allow such individuals access to such information in order to provide needed assistance to the project. These individuals will be obligated to protect from unauthorized disclosure any proprietary and/or sensitive information to which they are provided access.

Please return the signed document via email to accounts@ccs.ornl.gov.

**Principal Investigator
(Please Print):**   _____

**Citizenship Status
(US Citizen, LPR, Visa)**   _____

**Countries of
Citizenship**   _____

Country of Birth

Institution Represented   _____

Project Name   _____

Date   _____

Signature   _____